\$.0/589077 iAP20 Rec'd PCT/PTO 11 AUG 2016

Docket No.

294455US0PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroaki TAKEUCHI, et al.

SERIAL NO: New US PCT Application based on PCT/JP05/02425

GAU:

FILED: He

Herewith

EXAMINER:

FOR:

METHOD FOR PRODUCING SILICON OXIDE FILM

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

APPLICANT   Hiroki TAKEUCHI, et al.   FILING DATE   Herewith	Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 294455US0PCT	3	SERIAL based of	Application pCT/JP05/02425	
FILING DATE				APPLICANT					
Herewith   Herewith   Section   Se	LIST OF	REFE	RENCES CITED BY A	PPLICANT	Hiroaki TAKEUCHI, et al.	Hiroaki TAKEUCHI, et al.			
DOCUMENT   NAME   CLASS   SUB   FILING DATE   NAME   CLASS   CLASS   FAPPROPRIATE					FILING DATE		GROUP		
EXAMINER   NUMBER					Herewith				
NAME					U.S. PATENT DOCUMENTS				
AA				DATE	NAME	CLASS			
AB	- INTIAL	AA	NOMBER			+	CLASS	IF APPROPRIATE	
AC				***		+			
AD				<del>                                     </del>		+			
AE				<del>- </del>					
AF						-	-		
AG									
AI						1	-		
AJ		АН			-	+			
AK		AI							
AL		AJ							
AM		AK		<del>                                     </del>					
AN		AL							
DOCUMENT   DATE   COUNTRY   TRANSLATION   YES   NO		AM				<del> </del>			
DOCUMENT NUMBER   DATE   COUNTRY   TRANSLATION YES   NO     AO   2 263981   10/26/90   JP (equivalent of US 5173452)   NO     AP   2003 342731   12/03/03   JP   NO     AQ   11 12542   01/19/99   JP (English abstract only)   NO     AR   2003 297832   10/17/03   JP   NO     AS     NO     AT     NO     AT     AU		AN				1			
NUMBER   DATE   COUNTRY   YES   NO				FO	REIGN PATENT DOCUMENTS	<u></u>	1		
NOMBER							TRANSLATION		
AP   2003 342731   12/03/03   JP   NO   NO     AQ   11 12542   01/19/99   JP (English abstract only)   NO     AR   2003 297832   10/17/03   JP   NO     AS   AT                       AU                         AV   OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)     AW   AX                                     AZ			NUMBER	DAIL	COUNTRY		YES NO		
AQ 11 12542 01/19/99 JP (English abstract only) NO  AR 2003 297832 10/17/03 JP NO  AS AT AU AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW AX AX AY AX AX AY Date Considered  Examiner Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		AO	2 263981	10/26/90	JP (equivalent of US 5173452)			NO	
AR 2003 297832 10/17/03 JP NO  AS   NO  AS   NO  AT   NO  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW   AX    AY   AZ   Additional References sheet(s) attached  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		AP	2003 342731	12/03/03	JP			NO	
AS  AT  AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  AZ  AZ  AZ  AZ  AZ  AZ  AZ  AZ		AQ	11 12542	01/19/99	JP (English abstract only)			NO	
AT  AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  AZ  AZ  AZ  AZ  AZ  AZ  AZ  AZ		AR	2003 297832	10/17/03	JP			NO	
AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ   Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		AS					_		
AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in									
AW  AX  AY  AZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in				<del>-</del>					
AX  AY  AZ  AZ  AZ  AZ  Additional References sheet(s) attached  Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		AV	<u> </u>	<u> </u>			_		
AX  AY  AZ  AZ  Additional References sheet(s) attached  Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in			OTHER R	EFERENCES (	Including Author, Title, Date, Pertinent	t Pages, et	c.)		
AZ  AZ  Additional References sheet(s) attached  Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		AW							
AZ  Additional References sheet(s) attached  Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in		AX							
Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in		AY							
Examiner  Date Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in		AZ	****			Additi	onal Refe	rences sheet(s) attached	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in	Examiner								
with the analysis will considered. Include copy of this form with next communication to analysis to	*Examiner: Ini	tial if re	eference is considered,	whether or not	citation is in conformance with MPEP 60	)9; Draw lin	e through	citation if not in	